

Sample

Data Management Protocol

Provided by Elizabeth A. Heller, PhD, Assistant Professor of Systems
Pharmacology and Translational Therapeutics

Responsible Conduct of Research (RCR)

DATA ACQUISITION AND MANAGEMENT

The acquisition and management of data are vital to the research record. The acquisition of data begins with the execution of a research plan, which in turn relies on a scientific premise and an experimental design, ideally one that considers variables, statistical power, and an authentication of key biological or chemical resources – in short, the elements of reproducibility.

The management of data requires a complete and accurate representation of the data, a full accounting of protocols and the logic underlying them, a means of authenticating results by co-workers and others seeking replication, and a protection of such information from loss and inappropriate intrusion.

EXPERIMENTAL DESIGN

The elements of reproducibility

- Variables
 - Treatment/manipulation condition(s)
 - Control condition(s)
- Statistical power
 - Power analysis
 - Empirical data
- Time course
- Protocol
 - Reagents
 - Equipment
 - Authentication

EXPERIMENTAL EXECUTION

Data acquisition

Data are acquired through observation and are recorded initially through hand-entered notations, instrument or computer readouts, and/or images. Records of this nature are referred to as 'primary' data. These data can be organized subsequently into formats amenable to analysis and presentation, or 'secondary' data.

- Primary data
 - hand-entered notations
 - Instrument/computer readouts
 - Images
- Secondary data
 - analysis and interpretation

DATA MANAGEMENT

The notebook provides a record of primary and secondary data. It can, and should, provide as well a record of collaboration, interpretation, and decisions. It allows authentication of work by outside parties and proves ownership in claims to discovery.

The exact format of record-keeping is left to the discretion of the principal investigator.

DATA MANAGEMENT (con't)

- Entries for any single experiment should include date, purpose, materials, protocol, results, discussion, and next steps.
- Entries must include primary, unedited data, and should include as well any derived data, tables, calculations, and graphs. With regard to primary data, it is imperative to:
 - Document everything – you cannot remember it all.
 - Document everything ASAP – acts and details kept ‘in your head’ are quickly lost.
 - Document everything whether it’s ‘good’ or ‘bad’, ‘right’ or ‘wrong’. Omitting data is dishonest.
 - If data are discarded in a subsequent analysis, clearly note the reason for it. Not infrequently this will require statistical validation.

Guidelines for computer-assisted / electronic record keeping:

- An official procedure for the lab's electronic record-keeping process should be defined and communicated by the principal investigator to all users.
- The location, organization, and nature of electronic records for each user should be clearly defined.
- The nature of entries with regard to content, how decisions are made, and how data are selected should conform to those recommended above for bound notebooks.
- Entries should be write-protected and time-stamped to ensure authenticity.
- The date and content of primary electronic records should never be altered. Any corrections, addenda, or correspondence relating to primary electronic records must be made separately from these records, again in a write-protected and time-stamped fashion.
- Access to the stored electronic data of researchers in the lab should be authorized by the principal investigator as needed, with full knowledge of all involved parties.

Guidelines for computer-assisted / electronic record keeping:

Regarding storage and protection:

- Regular (daily) backup of all records should be mandated, and the process and oversight of this should be clearly prescribed and regularly monitored for compliance.
- Data on laptops, portable hard drives, and other portable media should be encrypted.
- The notebook and other records should be retained for a sufficient period of time to allow analysis and repetition by others of published material resulting from those data. In general, five to seven years is specified as the minimum period for retention but this may vary under different circumstances.

HELLERLAB RECORD KEEPING GUIDELINES

Electronic data acquisition and management

An experiment is defined by the BIOLOGICAL HYPOTHESIS, not by method.

Thus, each experiment will include more than one experimental method.

DATA ORGANIZATION: ASANA

- PROJECT: Global area of laboratory investigation, encompassing multiple trainees and manuscripts
- SECTION: Experiments organized towards a manuscript, one head trainee with collaborators
- TASK: Individual experiment, as defined above
- SUBTASK: Step of a protocol, defined by date, assignee

ASANA

The screenshot displays the Asana interface for a project titled "CDK5 GENE REGULATION & BEHAVIOR". The left sidebar shows a navigation menu with categories like "Team Conversations", "PROJECTS", and "MATERIALS & METHODS". The main content area is divided into sections: "CDK5_ZFP and FC:", "20170308 CDK5 in FC-Acquisition & Retrieval_Short Term Memory", and "MISC:". A task titled "20170617_Cdk5-sgRNA N2a Screen" is highlighted, showing its details and a list of subtasks. Three blue callout boxes with arrows point to specific elements: "PROJECT: General area of investigation" points to the project name in the sidebar; "SECTION: Group of tasks" points to the "CDK5_ZFP and FC:" section header; and "TASK: Experiment" points to the "20170617 CDK5 in FC" task. Another callout box, "SUBTASK: Method", points to the "Generate experiment spreadsheet" subtask within the task details view.

PROJECT:
General area of investigation

SECTION:
Group of tasks

TASK:
Experiment

SUBTASK:
Method

HELLERLAB RECORD KEEPING GUIDELINES (con't)


Electronic data acquisition and management

DATA STORAGE LOCATION: DROPBOX

(File names and folder organization map to ASANA)

- Parent folder name = PROJECT
 - Project names maps to PROJECT in ASANA
- Sub-folder name = SECTION
 - Sub-folder name maps to SECTION in ASANA
 - Sub-folder contains excel workbook, primary data and secondary data (described below)
- File name = TASK
 - Each file is named as DATE_DESCRIPTION
 - Date format is YEAR-MO-DAY, e.g. 20170620
 - Each task maps to an experiment, consisting of multiple methods, as defined above and below

DROPBOX



- Files
- Paper
- Sharing
- Recents
- File requests
- Deleted files
- Admin Console

HELLERLAB
6 members

> CDK5_ZFPs and FC

SECTION

PROJECT

TASK

	Members	
CDK5_ZFP and FC	5 members	...
CDK5 Gene Regulation And Behavior	5 members	...
science	5 members	...
work	5 members	...
HELLERLAB	5 members	...
20161117_cohort 4	5 members	...
20161208_CDK5 & FC	5 members	Share ...
20170112_CDK5 in FC-Retrieval	5 members	...
20170203_CDK5 in FC-Acquisition &...	5 members	...
20170303_CDK5 in FC-Acquisition &...	5 members	...
20170323_CDK5 in FC	5 members	...

Search



Share folder



- Upload files
- New folder
- Show deleted files





Discover the all-new Dropbox homepage and redesigned admin console.

Get early access


DROPBOX

> 20161208_CDK5 & FC

Search

Name	Members
 20161208_CDK5 & FC-Work Plan_Pr...	1/24/2017 5:22 PM by ajinkya 5 members
 20161208_CDK5 & FC.pzf	1/10/2017 12:41 PM by ajinkya 5 members
 20161208_HELLER_Relocation_to_SC...	5 members
 Behavior raw data	-- 5 members

Share folder

EH BS 

Upload files

New folder

Show deleted files

SUBTASK:
Method

SUBTASK: Secondary
data (analysis)

SUBTASK:
Primary data

Discover the all-new
Dropbox homepage
and redesigned
admin console.

Get early access

HELLERLAB RECORD KEEPING GUIDELINES (con't)

Electronic data acquisition and management

- Format of electronic record
 - Microsoft excel WORKBOOK
 - File name is DATE_DESCRIPTION
 - Workbook is organized into WORKSHEETS
 1. Experimental design
 - Time course
 - Subjects or samples
 - origin/sex/age/species
 - Manipulation
 - Data collection method
 - Analysis method
 2. Protocol
 - Reagents (product, manufacturer, lot)
 - Equipment (product, manufacturer)
 - Notations on changes to protocol during execution

HELLERLAB RECORD KEEPING GUIDELINES (con't)

Electronic data acquisition and management

- Format of electronic record (con't)
 3. Primary data
 - Primary data is recorded in sub-folder mapped to TASK
 - worksheet in workbook (e.g. qPCR raw data)
 - sub-folders (e.g. images, scans)
 - Manually recorded data (e.g. behavior scoring, MedAssociates Output)
 - Electronically delivered data (e.g. MedAssociates, Bioanalyzer, Nanodrop)
 - Images
 - Videos
 4. Secondary data – analysis
 - Separate sheet for each analysis
 - Analysis accurately labeled and annotated
- Backup and storage
 - DROPBOX data is automatically cloud backed up hourly and time-stamped
 - DROPBOX data must also be stored on individual user's primary hard drive
 - Data backed up DAILY to individual user's external hard drive, using automatic backup management software such as WDCloud or TimeMachine

Sample Experimental Data: Microsoft excel workbook

< 20161208_CDK5 & FC-Work Plan _Protocol_and Observations.xlsx
Modified on January 24

EXPERIMENTAL DESIGN

No Surgeries

D1

Sac'd 6 hrs post FC-Test

Sr. No.	Mouse ID		Handling						FC-training	FC-test	Dissections - CA1
			Day1	Day2	Day3	Day4	Day5	Day6			Punches (mm X no.)
			8-Dec	9-Dec	10-Dec	11-Dec	12-Dec	13-Dec	14-Dec	15-Dec	15-Dec
1	1-1	Home Cage	OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
2	1-2		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
3	1-3		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
4	1-4		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
5	1-5		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
6	2-1		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
7	2-2		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
8	2-3		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
9	2-4		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
10	2-5		OK	OK	OK	OK	OK	OK	NA	NA	1.2x2
11	3-1	Context control	OK	OK	OK	OK	OK	OK	OK	OK	1.2x2
12	3-2		OK	OK	OK	OK	OK	OK	OK	OK	1.2x2
13	3-3		OK	OK	OK	OK	OK	OK	OK	OK	1.2x2
14	3-4		OK	OK	OK	OK	OK	OK	OK	OK	1.2x2
15	3-5		OK	OK	OK	OK	OK	OK	OK	OK	1.2x2
16	4-1		OK	OK	OK	OK	OK	OK	OK	OK	1.2x2
17	4-2		OK	OK	OK	OK	OK	OK	OK	OK	1.2x2
18	4-3		OK	OK	OK	OK	OK	OK	OK	OK	1.2x2
19	4-4		OK	OK	OK	OK	OK	OK	OK	OK	1.2x2

PRIMARY DATA: Observations

PRIMARY DATA: Sample collection

Sample Experimental Data: Microsoft excel workbook

< 20161208_CDK5 & FC-Work Plan _Protocol_and Observations.xlsx
Modified on January 24

EXPERIMENTAL DESIGN

Training Day 0.4mAmp single shock at 148th sec of 180 sec trial

						Video File
Box1	3-1		Box2	3-2	3-5	Cage 3
	4-1			4-2	5-5	Cage 4
	5-1			5-2		Cage 5
	6-1			6-2		Cage 6
Box3	3-3		Box4	3-4	4-5	
	4-3			4-4	6-5	
	5-3			5-4		
	6-3			6-4		

REFERENCE TO PRIMARY DATA

data binned with 30 sec interval

Test Day No Shock 300 sec trial

C20

						Video File
Box1	3-1		Box2	3-2	3-5	Cage 3
	4-1			4-2	5-5	Cage 4
	5-1			5-2		Cage 5
	6-1			6-2		Cage 6

data binned with 30 sec interval

Sample Experimental Data: Microsoft excel workbook

20161208_CDK5 & FC-Work Plan _Protocol_and Observations.xlsx
Modified on January 24

30 sec binned data

Sr. No.	Mouse ID	training Freezing %	24hrs test Freezing %
1	3-1	3.72	17.35
2	3-2	1.18	6.36
3	3-3	4.12	23.59
4	3-4	12.86	16.11
5	3-5	6.72	7.31
6	4-1	2.12	6.46
7	4-2	3.24	15.55
8	4-3	16.92	15.93
9	4-4	10.06	17.60
10	4-5	6.70	26.03
11	5-1	4.66	31.07
12	5-2	6.62	40.40
13	5-3	4.38	35.77
14	5-4	16.14	47.32
15	5-5	8.38	66.07
16	6-1	3.08	81.90
17	6-2	6.80	58.10
18	6-3	8.00	41.70
19	6-4	7.40	41.70

PRIMARY DATA

Sample Experimental Data: Microsoft excel workbook

< 20161208_CDK5 & FC-Work Plan _Protocol_and Observations.xlsx
Modified on January 24

PROTOCOL

Date	Species	Experiment	Tissue	Elution Volume
VILO KIT	Mus	11172016_CDK5-ZFP1-p65&G9a-FC	HIP	30 uL

Tube	Sample	Brain Region	RNA ng/uL	Desired ng	Volume RNA (= Desired / Sample)	Volume Water (=14 uL-volume RNA)	Tube






Component		Quantity
5X VILO Reaction Mix		4 uL
10X SuperScript TM Enzyme Mix		2 uL
RNA (up to 2.5 uL) **Only 2uL for <100 ng total RNA		x uL

- Gently mix tube contents and incubate at 25°C for 10 minutes.
- Incubate tube at 42°C for 60 minutes.
 Incubate up to 120 minutes @42°C for increased yields; use water bath
 Use thermomixer, gently mixing at ~400 rpm
- Terminate the reaction at 85°C at 5 minutes.
- Use diluted or undiluted cDNA in qPCR (see "qPCR using fluorescent primers or probes" on page 2 and "qPCR using SYBRTM Green or SYBRTM GreenERTM reagent" on page 3), or store at -20°C until use.



> 20160729_cohort 2

Search

Name ▲	Modified	Members	☰
 20160729_CDK5-ZFP1_FC_Behavior_...	--	5 members	...
 20160729_CDK5-ZFP1_FC-Work Plan...	11/30/2016 3:45 PM by ajinkya	7 memb Share	...
 20160729_CDK5-ZFP_FC.pzf	9/28/2016 4:03 PM by ajinkya	5 members	...
 20160729_HELLER_CDK5-ZFP FC C...	8/19/2016 3:03 PM by ajinkya	5 members	...
 20160729_HELLER_Relocation_to_SC...	7/29/2016 1:06 PM by ajinkya	5 members	...

Sample Experimental Data: Microsoft excel workbook

< 20160729_CDK5-ZFP1_FC-Work Plan_Protocol_and Observations.xlsx
Modified on November 30, 2016

EXPERIMENTAL
DESIGN

Share Open ...

GFP 25% diluted M1
ZFP1-p65 undiluted

Sr. No.	Mouse ID	Handling						Surgery			PostSurgery & Handling			FC-training		FC-test		GFP expression	
		Day1	Day2	Day3	Day4	Day5	Day6	Rig	Virus	Notes	Day1	Day2	Day3	FC-training	FC-test	Left	Right		
		29-Jul	30-Jul	31-Jul	1-Aug	2-Aug	3-Aug	4-Aug			5-Aug	6-Aug	7-Aug	8-Aug	9-Aug				
1	1-1	ok	ok	ok	ok	ok	ok	1	HSV-GFP	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	No	No		
2	1-2	ok	ok	ok	ok	ok	ok	2	HSV-cdk5-ZFP1-p65	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	No		
3	1-3	ok	ok	ok	ok	ok	ok	3	HSV-GFP	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Faint	Faint		
4	1-4	ok	ok	ok	ok	ok	ok	died after anesthesia											
5	2-1	ok	ok	ok	ok	ok	ok	3	HSV-cdk5-ZFP1-p65	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
6	2-2	ok	ok	ok	ok	ok	ok	2	HSV-GFP	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
7	2-3	ok	ok	ok	ok	ok	ok	died after anesthesia											
8	2-4	ok	ok	ok	ok	ok	ok	2	HSV-cdk5-ZFP1-p65	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
9	3-1	ok	ok	ok	ok	ok	ok	3	HSV-GFP	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	No	No		
10	3-2	ok	ok	ok	ok	ok	ok	1	HSV-cdk5-ZFP1-p65	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
11	3-3	ok	ok	ok	ok	ok	ok	1	HSV-GFP	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
12	3-4	ok	ok	ok	ok	ok	ok	2	HSV-cdk5-ZFP1-p65	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
13	4-1	ok	ok	ok	ok	ok	ok	3	HSV-cdk5-ZFP1-p65	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
14	4-2	ok	ok	ok	ok	ok	ok	1	HSV-cdk5-ZFP1-p65	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
15	4-3	ok	ok	ok	ok	ok	ok	2	HSV-GFP	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
16	4-4	ok	ok	ok	ok	ok	ok	3	HSV-GFP	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	Strong		
17	5-1	ok	ok	ok	ok	ok	ok	1	HSV-GFP	ok	A, D, G	A, D, G	A, D, G	A, D, G	A, D, G	Strong	No		
18	5-2	ok	ok	ok	ok	ok	ok	2	HSV-cdk5-ZFP1-p65	ok	A, D, G minor suture release	A, D, G minor suture release recovering	A, D, G minor suture release recovering	A, D, G minor suture release recovering	A, D, G minor suture release recovered	Strong	Strong		

Surgeries & Dissection | Surgery Protocol | FC protocol | FC results-30sec binned data | 2-FC results-30 sec binned data | normalized by post-pre freezing | re-analysis with freezing tim

Multiple methods; each in
separate sheet

Sample Experimental Data: Microsoft excel workbook

< 20160729_CDK5-ZFP1_FC-Work Plan _Protocol_and Observations.xlsx
Modified on November 30, 2016

PROTOCOL

Share

Open

	Steps in Steriotactic Injections	Notes
1	needle cleaning with acetone	Ensure that the DV bar is aligned to Zero and both needles are at equal height.
2	needle cleaning with Milli Q-water	
3	needle filled with water - ready for next surgery	
4	Ketamine (100 mg/kgbody weight)	
5	Xylazine (16/ kg gm body weight)	1 mL of Ketasol stock + 160 uL of xylazine stock, make up to 10 mL with saline. IP Injected 0.1 mL/ 10 g body wight.
6	Pain management Buprenex stock (0.3 mg/mL) Meloxicam stock (5mg/mL)	Buprenex stock (0.05mg/kg body weight) + Meloxicam stock (5mg/kg body wieght) IP given
7	mice head fixing on to the frame	Insert the ear bars in ear canal close to post glenoid formen, just behind the Zygomatic arch. Fix one side ear bar and then work on the other side. Firmly fixed, straight alignment
8	Surgery	
	a betadine swab	C13 wipe head fur with beatdine
	b incision	make a AP incision up to end of skull, clean up any blood to make visible bregma and lambda
	c needle positioning	Check the angle is correct. Lower both needle and match their tips at the bregma
	d bregma readings	Note the AP, ML and DV readings in the Surgery log sheet along with animal details Angle: 7, AP: -1.9, ML: +1.5, DV: -1.5
	e co-ordinate calucations	Calucalte Injection Co-ordinates (adding/substracting)
	f needle repositioning	Move up the needles & reposition them using calculated AP and ML cor-ordiantes. Lower the needles to touch the skull top.
	g drill	Move up the needles and driil exactly where the needles touche dth skull top. Wipe out excess blood.
	h virus filling in needle	Push out water up to 2.5 mark on the needle. Wipe out the water drop with a swab. Fill up the Virus just above the 3.5 mark. Take care not to fill up bubbles
	i Dorsal CA1 injection	Lower the needles to touch the exposed brain at drilled site. Slowly move the needle DV to calucalted co-ordinates. Inject 0.5 µl at the rate of 0.1 µl/min (3.5 mark to 3.0 mark)
	j 3 min break	Wait for three minutes
	m needle pull out	Pull up the needles slowly. And tr them inwards.

Surgeries & Dissection | Surgery Protocol | FC protocol | FC results-30sec binned data | 2-FC results-30 sec binned data | normalized by post-pre freezing | re-analysis with freezing tir

Sample Experimental Data: Microsoft excel workbook

20160729_CDK5-ZFP1_FC-Work Plan _Protocol_and Observations.xlsx
 Modified on November 30, 2016

30 sec binned data						PRIMARY DATA						targeted/ unlearned anal marked yellow	
Sr. No.	Mouse ID		FC training Day Freezing %	24hrs test Freezing %	first 3 mi	Sr. No.	Mouse ID	Virus	FC training Day Freezing %	24hrs test Freezing %	first 3		
1	1-1	GFP	18.20	70.7	82.32	1	1-1	GFP	18.20	70.7	82.32		
2	1-2	cdk5-ZFP1-p65	11.88	39.20	45.83	3	1-3	HSV-GFP	13.86	15.13	18.33		
3	1-3	HSV-GFP	13.86	15.13	18.33	6	2-2	HSV-GFP	7.00	34.51	37.33		
4	1-4					9	3-1	HSV-GFP	3.78	35.87	45.17	GFF	
5	2-1	HSV-cdk5-ZFP1-p65	6.54	43.33	47.07	11	3-3	HSV-GFP	3.66	43.17	47.20	cdk	
6	2-2	HSV-GFP	7.00	34.51	37.33	15	4-3	HSV-GFP	8.92	22.69	25.65		
7	2-3					16	4-4	HSV-GFP	4.98	28.55	38.50	t-te	
8	2-4	HSV-cdk5-ZFP1-p65	12.08	56.55	60.62	17	5-1	HSV-GFP	11.16	55.54	65.23		
9	3-1	HSV-GFP	3.78	35.87	45.17	20	5-4	HSV-GFP	6.58	58.71	66.88		
10	3-2	HSV-cdk5-ZFP1-p65	10.66	30.51	35.97			Mean	8.68	40.54			
11	3-3	HSV-GFP	3.66	43.17	47.20			SD	4.64	17.12			
12	3-4	HSV-cdk5-ZFP1-p65	4.26	24.14	23.82			SEM	1.64	6.05			
13	4-1	HSV-cdk5-ZFP1-p65	8.50	61.69	72.43								
14	4-2	HSV-cdk5-ZFP1-p65	4.68	46.50	58.95								
15	4-3	HSV-GFP	8.92	22.69	25.65								
16	4-4	HSV-GFP	4.98	28.55	38.50								
17	5-1	HSV-GFP	11.16	55.54	65.23								
18	5-2	HSV-cdk5-ZFP1-p65	12.08	56.55	60.62	10	3-2	HSV-cdk5-ZFP1-p65	10.66	30.51	35.97		
19	5-3	HSV-GFP	3.78	35.87	45.17	12	3-4	HSV-cdk5-ZFP1-p65	4.26	24.14	23.82		

SECONDARY DATA: ANALYSIS
 New sheet for each analysis

ol FC results-30sec binned data 2-FC results-30 sec binned data normalized by post-pre freezing re-analysis with freezing time

Summary: Data Acquisition and Management

Rigorous data acquisition and management are requirements of all members of the laboratory.

The guidelines in this presentation are to be used as a resource, but are not comprehensive.

It is the responsibility of each member of the laboratory to adhere strictly to these guidelines, and to seek additional information as needed from the PI and/or other lab members.

Failure to comply with the standards of data acquisition and management constitutes research misconduct, and will result in a suspension of experimental work until compliance is attained.